



#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Rajindra Aneja

Serial No.: 10/067,648

Filed: February 4, 2002

For: INOSITOLPHOSPHOLIPIDS AND ANALOGUES

Group Art Unit: 1621

Examiner: Deborah D. Carr

Atty. Dkt. No.: 4020.000700

# CERTIFICATE OF MAILING 37 C.F.R. § 1.8

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as First Class Mail in an envelope addressed to: Mail Stop Issue Fee, Commissioner for Patents, Texandria, VA 22313-1450, on the date below, on the date below:

November 26, 2003

Date

Shelle P.M. Fussey

#### **INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Information Disclosure Statement be entered and the documents listed on attached Form PTO-1449 be considered by the Examiner and made of record in the present case. Copies of the listed documents required by 37 C.F.R. § 1.98(a)(2) are enclosed for the convenience of the Examiner.

In accordance with 37 C.F.R §§ 1.97(g),(h), this Information Disclosure Statement is not to be construed as a representation that a search has been made, and is not to be construed to be an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

For completeness, and to most fully comply with the duty of disclosure, Applicant requests that the enclosed documents be placed in the file in accordance with 37 C.F.R. § 1.97(i).

No fees are believed to be due in connection with the filing of this Information Disclosure Statement. However, should any fees be deemed necessary, the Examiner is respectfully requested to contact Applicant's undersigned representative to discuss deduction from Applicant's representatives' deposit account No. 50-0786/4020.000700.

Respectfully submitted, Williams, Morgan & Amerson, P.C. Customer No. 23720

Shelley P.M. Fussey, Ph.D.

Reg. No. 39,458 Agent for Applicant

10333 Richmond, Suite 1100 Houston, Texas 77042 (713) 934-7000

Date: November 26, 2003

Borm PTO-1449 (modified)

Let of Patents and Publications for Applicant's Applicant
Rapidor Information Disclosure Statement

(Use several sheets if necessary)

Atty. Docket No. 4020.000700 10/067,648

Applicant
Rajindra Aneja

Filing Date: Group: February 04, 2002 1621

(Use several sheets if necessar	February 04, 2002	1621	
U.S. Patent Documents	Foreign Patent Documents	Other Art	
See Page 1	See Page	See Page 1-3	

### **U.S. Patent Documents**

Exam. Init.	Ref. Des.	Document Number	Date	Name .	Class	Sub Class	Filing Dat of App.
,	A1	5,227,508	July 13, 1993	Kozikowski et al.	558	155	
	A2	4,997,761	March 5, 1991	Jett-Tilton	435	240.2	
	A3	4,515,722	May 7, 1985	Yang et al.	268	403	

## **Foreign Patent Documents**

Exam. Init.	Ref. Des.	Document Number	Date	Country	Class	Sub Class	Translation Yes/No

## Other Art (Including Author, Title, Date Pertinent Pages, Etc.)

Exam. Init.	Ref. Des.	Citation
	C1	Aneja et al., "A General Synthesis of Glycerophospholipids," Biochim. Biophys. Acta, 218, 102-111, 1970.
	C2	Aneja, "Structural and Stereochemical Purity of Glyerophospholipids," <i>Biochem. Soc. Trans.</i> , 2, 38-41,1974
	C3	Aneja et al., "A Novel Approach to Semisynthetic Phosphoinositides," National Organic Symposium, A. C. S. Ithaca, NY, June 18 -22, 1989.
	C4	Aneja and Parra, "Facile Optical Resolutions of DL-1,4,5,6-Tetra-O-Benzyl-MYO-Inositol: Key Synthons for the Phosphoinositides," <i>Tetrahedron Lett.</i> , 35, 525-526, 1994.
	· C5	Aneja et al., "The Absolute Configuration of (+)-1,2,4,5,6-Penta-O-Benzyl-MYO-Inositol," Tetrahedron Lett. 35, 6061-6062, 1994.
	C6	Aneja and Aneja, "Syntheses of 2-Modified Phosphatidylinositol 4,5-Bisphosphates: Putative probes of Intracellular Signaling," In <i>Advances in Phosphoinositides</i> . Ed. K. S. Bruzik, ACS Symposium Series 718 Washington D.C 222-231, 1999.
-	C7	Billington, "General Synthetic Considerations," <i>The Inositol Phosphates</i> , VCH Publishers, New York. 23-42, 1993.

Examiner:	DATE CONSIDERED:	

EXAMINER: INITIAL IF REFERENCE CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

Page 2 of 3 Form PTO-1449 (modified) Atty. Docket No. Serial No. 4020.000700 10/067,648 13 ਘੁ List/of Patents and Publications for Applicant's Applicant Rajindra Aneja INFORMATION DISCLOSURE STATEMENT Filing Date: Group: (Use several sheets if necessary) February 04, 2002 1621 **U.S. Patent Documents Foreign Patent Documents** Other Art See Page 1 See Page See Page 1-3

### Other Art (Including Author, Title, Date Pertinent Pages, Etc.)

Exam. Ref. Des.		Citation
	C8	Garigapati and Roberts, "Synthesis of Short Chain Phosphatidylinositols," <i>Tetrahedron Lett.</i> , 34, 769-772, 1993.
	C9	Gigg, "Synthesis of Glycolipids," Chem. Phys. Lipids, 26, 287, 366-385, 394-403, 1980.
	C10	Jett et al., "Metabolic Fate of Liposomal Phosphatidylinositol in Murine Tumor Cells: Implications for the Mechanism of Tumor Cell Cytotoxicity," Cancer Res., 45, 4810-4815, 1985.
	C11	Jones et al., "Improved Syntheses of Inositol Phospholipid Analogues,", Tetrahedron Lett., 30, 5353-5356, 1989.
	C12	Leung et al., "A Novel Water-Soluble Phosphonate Analog of Phosphatidylinositol, D-MYO-Inositol 4-(Hexadecyloxy)-3(S)-Methoxybutanephosphonate (C <sub>4</sub> -PI), Inhibits Epithelial Cell Proliferation and is a Substrate but not an Inhibitor of Phosphatidylinositol 3-Kinase," (C J. Liposome Res., 8, 213-224, 1998.
	C13	Leung et al., "Synthesis of Fluorescent Phosphatidylinositols Using a Novel Inositol H-Phosphonate," Tetrahedron Lett., 39, 2921-2924, 1998.
	C14	Lewis et al., "Substrate Requirements of Bacterial Phosphatidylinositol-Specific Phospholipase C," Biochemistry, 32, 8836-8841, 1993.
	C15	Lyutik et al., "Synthesis of a Phosphatidylinositol with an Unsaturated Acid Residue," Zh. Obshch. Khim. 44, 2595-2596, 1974.
	C16	Mandal et al., "In Vitro Synthesis of Phosphatidylinositol and Phosphatidylcholine by Phospholipase D, Phytochemistry, 19, 1661-1663, 1980.
	C17	Molotkovsky and Bergelson, "Synthesis of an Unsaturated Mixed-Acid Phosphatidylinositol of Natural Configuration. A New Procedure for Resolving Racemic Alcohols," <i>Chem. Phys. Lipids</i> , 11, 135-147, 1973.
	C18	Salamonczyk and Bruzik, "The Synthesis of Diastereomers of Phosphorothioate Analogue of Dipalmitoylphosphatidylinositol," <i>Tetrahedron Lett.</i> , 31, 2015-2016, 1990.
	C19	Shvets et al., "Resolution of Asymmetrically Substituted Myoinositols Into Optical Antipodes," <i>Tetrahedron</i> , 29, 331-340, 1973.
	C20	Toker et al., "Activation of Protein Kinase C Family Members by the Novel Polyphosphoinositides PtdIns-3,4-P <sub>2</sub> and PtdIns-3,4,5-P <sub>3</sub> ," J. Biol. Chem., 269, 32358-32367, 1994.

EXAMINER:	DATE CONSIDERED:

EXAMINER: INITIAL IF REFERENCE CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

OIPE	23			Page 3	of 3
P DEC 0 1 20	Form PTO-1449 (modified)		Atty. Docket No. 4020.000700	Serial No. 10/067,648	
PADEM!	List of Patents and Publications for Applicant's  INFORMATION DISCLOSURE STATEMENT		Applicant Rajindra Aneja		
	(Use several sheets if necess	ary)	Filing Date: February 04, 2002	Group: 1621	
	U.S. Patent Documents	Foreign	Patent Documents	Other Art	
	See Page 1		See Page	See Page 1-3	

## Other Art (Including Author, Title, Date Pertinent Pages, Etc.)

Exam. Init.	Ref. Des.	Citation
	C21	Ward and Young, "Synthesis of 1,2-Dipalmitoyl-sn-Glycer-3-YL-myo-Inositol 1-Phosphate," <i>Tetrahedron Lett.</i> , 29, 6013-6016, 1988.
	C22	Young et al., "Total Synthesis of the Four Stereoisomers of Dihexadecanoyl Phosphatidylinositol and the Substrate Sterospecificity of Human Erythrocyte Membrane Phosphatidylinositol 4-Kinase," J. Med. Chem. 33, 641-646, 1990.
	C23	Aneja et al., "The Absolute Configuration and Optical Purity of (-)- and (+)-1,2:4,5-Di-O-cyclohexylidene-myo-Inositols", Tetrahedron: Asymmetry, 6(1):17-18, 1995.
	C24	Aneja et al., "1D- and 1L-1,2:4,5-Di-O-cyclohexylidene-3-O-allyl-myo-Inositols: Complementary Versatile New Starting Materials for Syntheses in the 1D-myo-Inositol Series," <i>Tetrahedron Lett.</i> , 37(29):5081-5082, 1996.
	C25	Aneja and Aneja, "Practical Unequivocal Synthesis of Phosphatidyl-myo-Inositols," <i>Tetrahedron Lett.</i> , 41:847-850, 2000.

EXAMINER:	DAT	E CONSIDERED:	

EXAMINER: INITIAL IF REFERENCE CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.